FORM PFS PERSONAL FINANCIAL STATEMENT **COVER SHEET** PAGE 1 PAGE# Filed in accordance with chapter 572 of the Government Code. 66 For filings required in 2016, covering calendar year ending December 31, 2015. ACCOUNT # Use FORM PFS--INSTRUCTION GUIDE when completing this form. 00020990 1 NAME TITLE; FIRST; MI **OFFICE USE ONLY** The Honorable Royce Date Received **ELECTRONICALLY FILED** NICKNAME; LAST; SUFFIX 06/24/2016 West 2 ADDRESS ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP Receipt # 320 South R. L. Thornton Freeway Suite 300 HD / PM Amount Dallas, TX 75203-1804 Date Processed **TELEPHONE** AREA CODE PHONE NUMBER; EXTENSION Date Imaged NUMBER REASON FOR FILIING STATEMENT CANDIDATE _____ (INDICATE OFFICE) ELECTED OFFICER State Senator (INDICATE OFFICE) APPOINTED OFFICER ______ (INDICATE AGENCY) EXECUTIVE HEAD _____ (INDICATE AGENCY) FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT STATE PARTY CHAIR ______ (INDICATE PARTY) OTHER _____ (INDICATE POSITION) **5** Family members whose financial activity you are reporting (see instructions). SPOUSE ____ DEPENDENT CHILD In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. 1 INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD ___ 2 EMPLOYMENT NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER **EMPLOYER** State of Texas ADDRESS / PO BOX; APT / SUITE #; CITY: STATE: ZIP CODE 1400 N. Congress Avenue Room 1E.3 Austin, TX 78701-1932 **POSITION HELD** State Senator/Legislator NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD _ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER **EMPLOYER** West & Associates, LLP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE: ZIP CODE 320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 POSITION HELD Attorney/Managing Partner NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD __ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER **EMPLOYER** Royce West & Associates, P. C. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 POSITION HELD President NATURE OF OCCUPATION SELF-EMPLOYED

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	BUSINESS ENTITY	ALTRIA GROUP INC	1	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AMERICAN AIRLINES		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AMERICAN INTERNA		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	APPLE INC	١	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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1 BUSINESS ENTITY	AT&T INC	ı	NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	☐ 500 TO 999	X 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BLUE BUFFALO PET		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	□ 500 ТО 999	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CATERPILLAR INC	I	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	CATERPILLAR INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR	X FILER LESS THAN 100	SPOUSE 100 TO 499		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 S10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CSI COMPRESSCO X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CSI COMPRESSCO X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CSI COMPRESSCO X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	ENERGY TRANSFER		NAME NIT LIMITED PARTNERS	SHIP ??
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ENERGY TRANSFER		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ENERGY XXI GULF C	OAST INC SR NOTE	NAME ??	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 X 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	FACEBOOK INC CL A		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
Г	IF SOLD NET GAIN				
L	NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1	BUSINESS ENTITY	FEDEX CORP DELAV		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY			NAME	
		FIRST TR FINANCIAL	S ALPHADEX FUND		
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY			NAME	
	BUSINESS ENTITY	FLOUR CORP NEW	1	NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FLOUR CORP NEW	SPOUSE	NAME DEPENDENT CHILD)
	STOCK HELD OR				
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FREEPORT MCMORA	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FREEPORT MCMORA	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FREEPORT MCMORA X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	GLOBAL X SOCIAL M		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	GUGGENHEIM RUSS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CHCCENHEIM CODE		NAME	
STOCK HELD OR	GUGGENHEIM S&P 5	600 EQUAL WEIGHT E	<u> </u>	
ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES CORE S&P		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBED OF CHAREC		100 TO 499	500 TO 999	1,000 TO 4,999
NUMBER OF SHARES	X LESS THAN 100	100 1O 499		
NUMBER OF SHARES	LESS THAN 100	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS			\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	ISHARES IBOXX\$ HIG	I GH YIEL CORPORATE	NAME BOND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES INC CORE	I MSCI EMERGING MKT	NAME S ETF	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES MSCI BRAZ		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES MSCI BRAZ		NAME DEPENDENT CHILD)
STOCK HELD OR		ZIL CAPPED		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	CIL CAPPED SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SP	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SP	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES MSCI EMER	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES MSCI EMER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES MSCI EMER X FILER LESS THAN 100	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	ISHARES PHLX SEM		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	ISHARES S&P GLOBA	AL HEALTH CARE SEC	CTOR	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	·
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	ISHARES S&P GLOB		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES S&P GLOB,		NAME DEPENDENT CHILE)
STOCK HELD OR		AL100		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	AL100 SPOUSE	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	AL100 SPOUSE 100 TO 499	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	AL100 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	AL100 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES S&P 100	AL100 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES S&P 100 X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ISHARES S&P 100 X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	ISHARES U.S. TELEC	1 OMMUNICATIONS ET	NAME F	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	JPMORGAN CHASE &		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		١	NAME	
	LAS VEGAS SANDS (CORP		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999		
☐ NET LOSS			\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MARKET VECTORS E		NAME	\$25,000OR MORE
	MARKET VECTORS E		NAME	
BUSINESS ENTITY STOCK HELD OR	_	ETF TR GOLD MINERS	NAME S ETF	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER	ETF TR GOLD MINERS	NAME SETF DEPENDENT CHILD)
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	NAME SETF DEPENDENT CHILD)

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1 BUSINESS ENTITY	MARKET VECTORS E		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MASTERCARD INC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	NETFLIX COM INC		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	NETFLIX COM INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR	_			X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NIKE INC CL B	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NIKE INC CL B X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NIKE INC CL B X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	POWERSHARES QQ		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PROSHARES S&P 50	I 0 DIVIDEND ARISTOC	NAME RATS ETF	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ı	NAME	
BUSINESS ENTITY	ROCKWELL MEDICA		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ROCKWELL MEDICA		NAME DEPENDENT CHILD)
STOCK HELD OR		L TECH		X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	L TECH SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	<u></u>
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	L TECH SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	L TECH SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SECTOR SPDR ENER	L TECH SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SECTOR SPDR ENER	L TECH SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SECTOR SPDR ENER X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	SECTOR SPDR INDU		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SOUTHWEST AIRLNS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	D
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SPDR DOW JONES II	NDUST AV ETF TRUS	NAME T	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SPDR GOLD TRUST		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS	SENTITY	SPDR KBW REGIONA		NAME	
2 STOCK HE ACQUIRE		X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER	OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS	SENTITY	SPDR S P BRIC 40	ı	NAME	
STOCK HE ACQUIRE		X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER	OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS	SENTITY			NAME	
BUSINESS	S ENTITY	SPDR S P DIVID ETF	I	NAME	
BUSINESS STOCK HE ACQUIRE	ELD OR	SPDR S P DIVID ETF	SPOUSE	NAME DEPENDENT CHILE)
STOCK HI ACQUIREI	ELD OR				1,000 TO 4,999
STOCK HI ACQUIREI	ELD OR D BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HI ACQUIREI	ELD OR D BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
STOCK HE ACQUIRE	ELD OR D BY OF SHARES NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	
STOCK HI ACQUIRE NUMBER	ELD OR D BY OF SHARES NET GAIN NET LOSS SENTITY ELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HI ACQUIRED NUMBER IF SOLD BUSINESS STOCK HI ACQUIRED	ELD OR D BY OF SHARES NET GAIN NET LOSS SENTITY ELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S P EMERG AS	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE X 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
STOCK HI ACQUIRED NUMBER IF SOLD BUSINESS STOCK HI ACQUIRED	D BY OF SHARES NET GAIN NET LOSS SENTITY ELD OR D BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S P EMERG AS X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SIA PAC SPOUSE	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HE ACQUIRED NUMBER BUSINESS STOCK HE ACQUIRED	D BY OF SHARES NET GAIN NET LOSS SENTITY ELD OR D BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S P EMERG AS X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SIA PAC SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1					
	BUSINESS ENTITY	SPDR S&P 500 ETF T		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SPDR S&P INTL TELE		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SPDR S&P 500 ETF T		NAME	
	STOCK HELD OR		SPOUSE	DEPENDENT CHILD)
	ACQUIRED BY	X FILER	SPOOSE	L DEI ENDENT GINEE	<u> </u>
	ACQUIRED BY NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	
		X LESS THAN 100	100 TO 499		
	NUMBER OF SHARES IF SOLD NET GAIN	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
_	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SQUARE INC CL A	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SQUARE INC CL A X FILER LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	TWITTER INC		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499	□ 500 тО 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ь					
	BUSINESS ENTITY	VANGUARD FTSE EN		NAME ETF	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
E					
	BUSINESS ENTITY	VANGUARD HIGH DV		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	VANGUARD HIGH DV		NAME DEPENDENT CHILD)
	STOCK HELD OR		'D YIELD ETF		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	D YIELD ETF SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	D YIELD ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	D YIELD ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 VANGUARD INDUSTR	D YIELD ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 VANGUARD INDUSTE X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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VANGUARD INFORMATION TECH ETF 2 STOCK HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD					
SPOUSE	1 BUSINESS ENTITY	VANGUARD INFORM		NAME	
LESS THAN 100	2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
F SOLD	3 NUMBER OF SHARES			500 TO 999	1,000 TO 4,999
VANGUARD MEGA CAP ETF				\$10,000 - \$24,999	\$25,000OR MORE
VANGUARD MEGA CAP ETF					
NUMBER OF SHARES	BUSINESS ENTITY	VANGUARD MEGA C		NAME	
X LESS THAN 100		X FILER	SPOUSE	DEPENDENT CHILD	
DEST HAN \$5,000	NUMBER OF SHARES			500 TO 999	1,000 TO 4,999
WAL-MART STORES INC		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
WAL-MART STORES INC					
NUMBER OF SHARES					
LESS THAN 100	BUSINESS ENTITY	WAL-MART STORES		NAME	
NET LOSS	STOCK HELD OR		INC)
WISDOMTREE TRUST JAPAN HEDGE EQUITY FD STOCK HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES X LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 10K 10,000 OR MORE	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
WISDOMTREE TRUST JAPAN HEDGE EQUITY FD STOCK HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES X LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 10K 10,000 OR MORE	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILD	X 1,000 TO 4,999
ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES X LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 10K 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$25,000 OR MORE	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
X LESS THAN 100	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
IF SOLD □ NET GAIN □ LESS THAN \$5,000 □ \$5,000 \$0,000 □ \$10,000 \$24,000 □ \$25,000 OR MORE	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME HTY FD	X 1,000 TO 4,999 \$25,000OR MORE
H 10 000 H \$25 000 OP MODE	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T JAPAN HEDGE EQU SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ITY FD DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T JAPAN HEDGE EQU SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ITY FD DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	YAHOO INC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ABERDEEN JAPAN E		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	APPLE INC		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	APPLE INC X FILER	SPOUSE	NAME DEPENDENT CHILE)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BLACKROCK GLOBA	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 L DIVIDEND PORTFO	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME LIO INST	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BLACKROCK GLOBA X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 L DIVIDEND PORTFO SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME LIO INST DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BLACKROCK GLOBA X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 L DIVIDEND PORTFO SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME LIO INST DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	COLUMBIA SELECT I	1 ARGE CAP GROWTH	NAME FUND CL Z	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	COL EURO EQ FD CL		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		١	NAME	
	COLUMBIA SELIGMA	N PREM TECHNOLOG	SY GROWTH FD INC	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DOLLAR TREE INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	EATON VANCE TAX I	1 ONI DIVIDEND INC	NAME COME FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
BUSINESS ENTITY	ENERGY TRANSFER		NAME NIT LIMITED PARTNERS	SHIP
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	FAMILY DOLLAR STO		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FAMILY DOLLAR STO		NAME DEPENDENT CHILE)
STOCK HELD OR		DRES		X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	DRES SPOUSE	DEPENDENT CHILE	<u></u>
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILE	<u></u>
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	DRES SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	DEPENDENT CHILE	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	DRES SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TR FINANCIAL	DRES SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TR FINANCIAL X FILER	SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999 S ALPHADEX FUND SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TR FINANCIAL X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999 S ALPHADEX FUND SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	X 1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	FEDEX CORP DELAV		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	GLOBAL X SOCIAL M		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F					
	BUSINESS ENTITY	GOLDEN ST TOB SC	ا RTZN CRP CA RV SEF	NAME R A RF FGIC	
	STOCK HELD OR ACQUIRED BY	GOLDEN ST TOB SC)
	STOCK HELD OR		RTZN CRP CA RV SEF	R A RF FGIC	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	RTZN CRP CA RV SEF	A RF FGIC DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K	RTZN CRP CA RV SEF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	A RF FGIC DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	RTZN CRP CA RV SEF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	A RF FGIC DEPENDENT CHILD 500 TO 999	
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	RTZN CRP CA RV SEF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	A RF FGIC DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GUGGENHEIM RUSS	RTZN CRP CA RV SEF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	A RF FGIC DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY NUMBER OF SHARES	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GUGGENHEIM RUSS X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	R A RF FGIC DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GUGGENHEIM RUSS X FILER X LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	R A RF FGIC DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	GUGGENHEIM S&P 5	i GOO EQUAL WEIGHT E	NAME TF	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES CORE S&P		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
DUCINECC ENTITY				
BUSINESS ENTITY	ISHARES IBOXX\$ HIC		NAME ROND	
STOCK HELD OR ACQUIRED BY	ISHARES IBOXX\$ HIC	GH YIEL CORPORATE)
STOCK HELD OR	X FILER	SH YIEL CORPORATE	BOND DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SH YIEL CORPORATE SPOUSE 100 TO 499	BOND	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES	X FILER	SH YIEL CORPORATE	BOND DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SH YIEL CORPORATE SPOUSE 100 TO 499	BOND DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SH YIEL CORPORATE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	BOND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SH YIEL CORPORATE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	BOND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INC CORE	SH YIEL CORPORATE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	BOND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME IS ETF	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INC CORE X FILER	SH YIEL CORPORATE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	BOND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME IS ETF DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INC CORE X FILER X LESS THAN 100	SH YIEL CORPORATE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 MSCI EMERGING MKT SPOUSE 100 TO 499	BOND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME IS ETF DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	ISHARES MSCI EMER		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			VAME	
	ISHARES PHLX SEMI		15	501.00
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			VAME	
	ISHARES S&P GLOBA			
	1311/11(23 3&1 323)	AL HEALTH CARE SEC	JIOR	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
				1,000 TO 4,999
ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES S&P GLOBA	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES S&P GLOBA X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES S&P GLOBA X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 AL100 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
2 Boomes Emili	ISHARES S&P 100		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES U.S. TELEC	OMMUNICATIONS ET	NAME F	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BOSINESS ENTIT	IVY SCIENCE & TECH		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	X LESS THAN \$5,000		\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	X LESS THAN \$5,000	- 1		\$25,000OR MORE
NET LOSS		- 1		
BUSINESS ENTITY STOCK HELD OR	MARKET VECTORS E	ETF TR	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	MARKET VECTORS E	ETF TR	NAME DEPENDENT CHILD)

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1	BUSINESS ENTITY	MASTERCARD INC		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS			\$10,000 - \$24,999	\$25,000OR MORE
		<u>'</u>			
	BUSINESS ENTITY	MENLO PK CALIF CI	TY SCH DIST ELECTIO	NAME DN 2006 LT	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 X 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOS:		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DUIGINIEGO ENITITY				
	BUSINESS ENTITY	NU SKIN ENTERPRI		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	NU SKIN ENTERPRI		NAME DEPENDENT CHILE)
	STOCK HELD OR		SES INC		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K	SES INC SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILE	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE X 500 TO 999 X \$10,000 - \$24,999	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE X 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NUVEEN BUILD AME X FILER X LESS THAN 100	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ER BD FD COM SPOUSE 100 TO 499	DEPENDENT CHILE X 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NUVEEN BUILD AME X FILER	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ER BD FD COM SPOUSE	DEPENDENT CHILE X 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 NUVEEN BUILD AME X FILER X LESS THAN 100 LESS THAN 10K LESS THAN 10K X LESS THAN 10	SES INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ER BD FD COM SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILE X 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	NUVEEN MORTGAGE	1 OPPORTUNITY TER	NAME M FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	OPPENHEIMER INTE	I RNATL GROWTH FD (NAME CL Y	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
BUSINESS ENTITY	OPPENHEIMER DEVI	I ELOPING MARKETS F		
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	OPPENHEIMER DEVI)
STOCK HELD OR		ELOPING MARKETS F	D CL Y	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	ELOPING MARKETS F	D CL Y	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	ELOPING MARKETS F SPOUSE 100 TO 499	D CL Y	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	ELOPING MARKETS F SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	ELOPING MARKETS F SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PAX WORLD SMALL	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME ONAL CL	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PAX WORLD SMALL X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME ONAL CL DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PAX WORLD SMALL X FILER X LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CAP FUND INSTITUTION SPOUSE 100 TO 499	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME ONAL CL DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	POWERSHARES QQ		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PRINCIPAL GLOBAL	I DIVERSI INCOME FUN	NAME ID CL P	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SA EOCUSED ALDUA		NAME	
BUSINESS ENTITY STOCK HELD OR		GROWTH FUND CL V	v	
	SA FOCUSED ALPHA)
STOCK HELD OR		GROWTH FUND CL V	v	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	GROWTH FUND CL V	V DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	GROWTH FUND CL V	V DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	GROWTH FUND CL V SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	GROWTH FUND CL V SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU	GROWTH FUND CL V SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU X FILER	GROWTH FUND CL V SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 STRIAL SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	SOUTHWEST AIRLIN		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
BUSINESS ENTITY	SPDR DOW JONES II	1 NDUST AV ETF TRUST	NAME 「	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BOSINESS ENTIT	SPDR GOLD TRUST	'	VAIVIE	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY				
BOSINESS ENTITY	SPDR KBW REGIONA		NAME	
STOCK HELD OR ACQUIRED BY	SPDR KBW REGIONA		DEPENDENT CHILD)
STOCK HELD OR		AL BANKIN ETF		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	

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1	BUSINESS ENTITY	SPDR S P BRIC 40		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD NET GA	IN TYLESS THAN \$5,000		\$10,000 - \$24,999	\$25,000OR MORE
ь					
	BUSINESS ENTITY	SPDR S P EMERG A		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	□ 500 ТО 999	1,000 TO 4,999
	IF SOLD NET GA		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
				=	
	BUSINESS ENTITY	SPDR S&P INTL TEL		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	SPDR S&P INTL TEL		NAME DEPENDENT CHILE)
	STOCK HELD OR	X FILER X LESS THAN 100	SPOUSE 100 TO 499		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	ECOM SECTOR SPOUSE	DEPENDENT CHILE	
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILE	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA	X FILER X LESS THAN 100 LESS THAN 10K IN X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA	X FILER X LESS THAN 100 LESS THAN 10K IN X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA X NET LO BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR S&P 500 ETF	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA X NET LO BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR S&P 500 ETF X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 TRUST SPOUSE SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GA X NET LO BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K IN X LESS THAN \$5,000 SPDR S&P 500 ETF X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE 55,000 - \$9,999 TRUST SPOUSE 100 TO 499 10,000 OR MORE 10,000 OR MORE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	SUNAMERICA FOCUS	i SED DIVIDEND STRAT	NAME FEGY CL W	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	TOUCHSTONE SAND	S CAPITAL SELECT G	NAME SROWTH FUND CL Y	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	TRANSAMERICA EMI	I ERGING MARKETS DE		
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	TRANSAMERICA EMI)
STOCK HELD OR		ERGING MARKETS DE	EBT FD CL I	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	ERGING MARKETS DE	EBT FD CL I DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	ERGING MARKETS DE	EBT FD CL I DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	EBT FD CL I DEPENDENT CHILE 500 TO 999	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	EBT FD CL I DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRP EUOPEAN STOC	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	EBT FD CL I DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRP EUOPEAN STOC	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRP EUOPEAN STOC X FILER X LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CK FD STOCK FUND SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
1 BUSINESS ENTITY	VANGUARD FTSE EN	ا MERGING MARKETS E	NAME ETF	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	VANGUARD HIGH DV		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY				
DOSINESS ENTITI		ſ	NAME	
BOSINESS ENTIT	VANGUARD INDUST		NAME	
STOCK HELD OR ACQUIRED BY	VANGUARD INDUSTE		DEPENDENT CHILD)
STOCK HELD OR		RIAL ETF		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	RIAL ETF	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	RIAL ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	RIAL ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 VANGUARD INFORM	RIAL ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 VANGUARD INFORM X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ATION TECH ETF SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 VANGUARD INFORM X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE 55,000 - \$9,999 ATION TECH ETF SPOUSE 100 TO 499 100 TO 490 100 TO 490	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	VANGUARD MEGA C		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	VIRTUS FOREIGN OF	PPORTUNTIES FUND	NAME CL I	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	WHOLE FOODS MKT		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	WHOLE FOODS MKT		NAME DEPENDENT CHILE)
STOCK HELD OR		INC COM		X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	INC COM SPOUSE	DEPENDENT CHILD	<u></u>
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	INC COM SPOUSE 100 TO 499	DEPENDENT CHILD	<u></u>
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	INC COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	INC COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 X \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS	INC COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T JAPAN HEDGE EQU	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME JITY FD	X 1,000 TO 4,999 X \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS X FILER	INC COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T JAPAN HEDGE EQU	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME JITY FD DEPENDENT CHILD	X 1,000 TO 4,999 X \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 WISDOMTREE TRUS X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T JAPAN HEDGE EQUID SPOUSE 100 TO 499 100 TO 490 100 TO	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME JITY FD DEPENDENT CHILD	X 1,000 TO 4,999 X \$25,000OR MORE

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BONDS, NOTES & OTHER COMMERCIAL PAPER

PART 3

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which the child is listed on the	Cover Sneet.		
1 DESCRIPTION OF INSTRUMENT	CALIFORNIA ST PRE	REFUNDED-VAR PRF	16
2 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
3 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	CALIFORNIA ST PRE	16	
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	CALIFORNIA ST UNF	REFUNDED	
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	CHANNELVIEW TEX	INDPT	
	CHANNELVIEW TEX X FILER	INDPT SPOUSE	DEPENDENT CHILD
INSTRUMENT HELD OR		SPOUSE	□ DEPENDENT CHILD □ \$10,000 - \$24,999 □ \$25,000OR MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER LESS THAN \$5,000	SPOUSE	\$10,000 - \$24,999 \$25,000OR MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF	X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR	☐ LESS THAN \$5,000 DALLAS TEX INDPT	SPOUSE \$5,000 - \$9,999 SCH DIST SCH PSF G	\$10,000 - \$24,999 \$25,000OR MORE TD OID
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER LESS THAN \$5,000 DALLAS TEX INDPT X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999 SCH DIST SCH PSF G	\$10,000 - \$24,999 \$25,000OR MORE TD OID DEPENDENT CHILD
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF	X FILER LESS THAN \$5,000 DALLAS TEX INDPT X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999 SCH DIST SCH PSF G SPOUSE \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE TD OID DEPENDENT CHILD
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR	X FILER LESS THAN \$5,000 DALLAS TEX INDPT X FILER LESS THAN \$5,000 ENERGY XXI GULF 0	SPOUSE \$5,000 - \$9,999 SCH DIST SCH PSF G SPOUSE \$5,000 - \$9,999 COAST INC SR NOTE SPOUSE	\$10,000 - \$24,999 \$25,000OR MORE TD OID DEPENDENT CHILD \$10,000 - \$24,999 \$25,000OR MORE

BONDS, NOTES & OTHER COMMERCIAL PAPER

PART 3

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	which the child	is listed on the Co	ver Sneet.			
1	DESCRIPTION INSTRUMENT	OF	GILROY CALIF UNI S	CH DIST CTFS PARTN	SCH AGC	
2	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD	
3	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,0	000OR MORE
	DESCRIPTION INSTRUMENT	OF	HUTTO TEX INDPT S	CH DIST		
	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD	
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,0	000OR MORE
	DESCRIPTION INSTRUMENT	OF	LOS ANGELES CALIF	DEPT ARPTS ARPT F	REV SER C OID	
	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD	
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,0	000OR MORE
	DESCRIPTION INSTRUMENT	OF	MERRILL LYNCH CO	INC		
			MERRILL LYNCH CO	INC SPOUSE	DEPENDENT CHILD	
	INSTRUMENT HELD OR					000OR MORE
	HELD OR ACQUIRED BY	NET GAIN NET LOSS	X FILER LESS THAN \$5,000	SPOUSE	\$10,000 - \$24,999 \$25,	
	HELD OR ACQUIRED BY IF SOLD	NET GAIN NET LOSS OF	X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,	
	HELD OR ACQUIRED BY IF SOLD DESCRIPTION INSTRUMENT HELD OR	NET GAIN NET LOSS OF	X FILER LESS THAN \$5,000 MIAMI-DADE CNTY F	SPOUSE \$5,000 - \$9,999 LA AVIATION REV B O	\$10,000 - \$24,999	
	INSTRUMENT HELD OR ACQUIRED BY IF SOLD DESCRIPTION INSTRUMENT HELD OR ACQUIRED BY	NET GAIN NET LOSS OF	X FILER LESS THAN \$5,000 MIAMI-DADE CNTY F	SPOUSE \$5,000 - \$9,999 LA AVIATION REV B O	\$10,000 - \$24,999	000OR MORE
	INSTRUMENT HELD OR ACQUIRED BY IF SOLD DESCRIPTION INSTRUMENT HELD OR ACQUIRED BY	NET GAIN NET LOSS OF	X FILER LESS THAN \$5,000 MIAMI-DADE CNTY F	SPOUSE \$5,000 - \$9,999 LA AVIATION REV B O	\$10,000 - \$24,999	000OR MORE

MUTUAL FUNDS PART 4

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS-INSTRUCTION GUIDE.

1	MUTUAL FUND	ABERDEEN JAPAN E		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	1,000 to 9,999	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_					
	MUTUAL FUND	BLACKROCK GLOBA	1 L DIVIDEND PORTFOL	NAME LIO INST	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
⊢					
E		l			
	MUTUAL FUND	BLACKROCK RES & 0	COMMODIT STRATEG	NAME Y TR	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	BLACKROCK RES & ()
	SHARES OF MUTUAL FUND		COMMODIT STRATEG	Y TR	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE 100 TO 499	Y TR DEPENDENT CHILE	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	COMMODIT STRATEG SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	Y TR DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	Y TR DEPENDENT CHILE 500 TO 999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	Y TR DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 BLACKROCK SCIENC	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	Y TR DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 BLACKROCK SCIENC X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CE AND TECH TR SPOUSE 100 TO 499	Y TR DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	X 1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

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1	MUTUAL FUND	COHEN & STEERS M		NAME OPPORTUNITY FD INC	ССОМ
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			NAME	
		COLUMBIA EUROPE	AN EQUITY FUND CL 2		
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			NAME	
	MUTUAL FUND	COLUMBIA SELECT I	1 ARGE CAP GROWTH	NAME FUND CL Z	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	COLUMBIA SELECT I)
	SHARES OF MUTUAL FUND		ARGE CAP GROWTH	FUND CL Z	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	ARGE CAP GROWTH SPOUSE X 100 TO 499	FUND CL Z DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER	ARGE CAP GROWTH SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	FUND CL Z DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	ARGE CAP GROWTH SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	FUND CL Z DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	ARGE CAP GROWTH SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	FUND CL Z DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 COLUMBIA SELIGMA	ARGE CAP GROWTH SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	FUND CL Z DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME BY GROWTH FD INC	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 COLUMBIA SELIGMA X FILER LESS THAN 100	ARGE CAP GROWTH SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 N PREM TECHNOLOG SPOUSE X 100 TO 499	FUND CL Z DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME SY GROWTH FD INC DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

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1	MUTUAL FUND	EATON VANCE TAX I	NOIV INC DIVIDEND INC	NAME COME FUND	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	IF SOLD ☐ NET GAIN	5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	FRANKLIN RISING DI		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND		١	NAME	
	MUTUAL FUND	IVY SCIENCE & TECH		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	IVY SCIENCE & TECH		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		HNOLOGY FD CL I		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	SPOUSE	DEPENDENT CHILD	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER X LESS THAN 100 5,000 to 9,999	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
=	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 NUVEEN BUILD AME	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 NUVEEN BUILD AME X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 R BD FD COM SPOUSE	DEPENDENT CHILD 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 NUVEEN BUILD AME X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 R BD FD COM SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999	1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

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1 MUTUAL FUND	NUVEEN MORTGAGE	1 OPPORTUNITY TERI	NAME M FUND	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	□ 500 ТО 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
AUTUAL FUND				
MUTUAL FUND	NUVEEN SANTA BAF	RBARA DIVIDEND GRO	NAME OWTH FD CL I	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			NAME	
MUTUAL FUND	OPPENHEIMER DEVI	I ELOPING MARKETS F	NAME D CL Y	
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	OPPENHEIMER DEVI)
SHARES OF MUTUAL FUND		ELOPING MARKETS F	D CL Y	1,000 TO 4,999
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE X 100 TO 499	D CL Y DEPENDENT CHILE	_
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	ELOPING MARKETS F SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER	ELOPING MARKETS F SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOU	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 OPPENHEIMER INTE	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME CL Y	1,000 TO 4,999 \$25,000OR MORE
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 OPPENHEIMER INTE X FILER X LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 RNATL GROWTH FD 0	D CL Y DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME CL Y DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

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1	MUTUAL FUND	PAX WORLD SMALL	CAP FUND INSTITUTION	NAME ONAL CL	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	PRINCIPAL GLOBAL	NOTE TO NOTE T	NAME ID CL P	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	SUNAMERICA FOCU	N SED DIVIDEND STRAT	NAME TEGY CL W	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	SUNAMERICA FOCU)
	SHARES OF MUTUAL FUND		SED DIVIDEND STRAT	TEGY CL W	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SED DIVIDEND STRAT	EGY CL W DEPENDENT CHILE	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	SED DIVIDEND STRAT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	□ DEPENDENT CHILD □ 500 TO 999 □ \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SED DIVIDEND STRAT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SED DIVIDEND STRAT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 SUNAMERICA FOCU	SED DIVIDEND STRAT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME FUND CL W	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 SUNAMERICA FOCU X FILER LESS THAN 100	SED DIVIDEND STRAT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SED ALPHA GROWTH SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME FUND CL W DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS-INSTRUCTION GUIDE.

1	MUTUAL FUND	SUNAMERICA INTL D	NVIDEND STRATEGY	NAME FUND CL A	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			NAME	
		T ROWE PRICE EUR	OPEAN STOCK FUND		
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F					
	MUTUAL FUND	THE INVESTMENT C	NOMPANY OF AMERICA	NAME A-A	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	THE INVESTMENT CO)
	SHARES OF MUTUAL FUND		OMPANY OF AMERICA	A-A	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	OMPANY OF AMERICA	A-A DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	OMPANY OF AMERICA SPOUSE 100 TO 499	A-A DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	OMPANY OF AMERICA SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	A-A DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER	OMPANY OF AMERICA SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	A-A DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	OMPANY OF AMERICA SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	A-A DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 TOUCHSTONE SAND	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	A-A DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME ROWTH FUND CL Y	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 TOUCHSTONE SAND X FILER	SPOUSE SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ROWTH FUND CL Y DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 TOUCHSTONE SAND X FILER LESS THAN 100	SPOUSE SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999 S CAPITAL SELECT G SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ROWTH FUND CL Y DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUNI)	TRANSAMERICA EMI	N ERGING MARKETS DE	NAME BT FD CL I	
2	SHARES OF M HELD OR ACQ		X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF S MUTUAL FUNI		LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
			5,000 to 9,999	10,000 OR MORE		
4	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUNI	D		N	NAME	
			VIRTUS FOREIGN OF	PPORTUNTIES FUND (CL I	
	SHARES OF M HELD OR ACQ		X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF S MUTUAL FUNI		LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
			5,000 to 9,999	10,000 OR MORE		
	IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	NALITIIAI ELINI	¬				
	MUTUAL FUNI	D	WESTERN ASSET HI	۱ GH YIELD DEFINED OI	NAME PPORTUNITY FUND	
	SHARES OF M	IUTUAL FUND	WESTERN ASSET HI)
	SHARES OF M	MUTUAL FUND QUIRED BY SHARES OF		GH YIELD DEFINED O	PPORTUNITY FUND	1,000 TO 4,999
	SHARES OF M HELD OR ACQ NUMBER OF S	MUTUAL FUND QUIRED BY SHARES OF	X FILER	GH YIELD DEFINED O	PPORTUNITY FUND DEPENDENT CHILE	<u> </u>
	SHARES OF M HELD OR ACQ NUMBER OF S	MUTUAL FUND QUIRED BY SHARES OF	X FILER LESS THAN 100	GH YIELD DEFINED O	PPORTUNITY FUND DEPENDENT CHILE	<u> </u>
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF M HELD OR ACC NUMBER OF S MUTUAL FUNI	MUTUAL FUND QUIRED BY SHARES OF D	X FILER LESS THAN 100 5,000 to 9,999	GH YIELD DEFINED OF SPOUSE X 100 TO 499 10,000 OR MORE	PPORTUNITY FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	ALTRIA GROUP INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS AMERICAN AIRLINES GRP INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N Saint Paul St
	Suite 800 Dallas, TX 75201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S25,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	NAME AND ADDRESS
	AMERICAN INTERNATIONAL GROUP INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201
RECEIVED BY	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100
RECEIVED BY AMOUNT	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
AMOUNT	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER
AMOUNT SOURCE OF INCOME	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	AT&T INC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 1000
	Dallas, TX 75201
	Dalids, 17 73201
2 RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	-
o / wicewi	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
	BLACKROCK SCIENCE AND TECH TR ??? (See T356)
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 100
	Dallas, TX 75201
RECEIVED BY	
REGERVES 5.	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	│
	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	
SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031)
SOURCE OF INCOME	
SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031)
SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite
SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
SOURCE OF INCOME RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201
	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite
RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201
	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE
RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
RECEIVED BY AMOUNT SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
RECEIVED BY AMOUNT	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
RECEIVED BY AMOUNT SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY AMOUNT SOURCE OF INCOME	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD DEPENDENT CHILD SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	BLACKROCK RES & COMMODIT STRATEGY TR ??? (See T031) ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS CALIFORNIA ST VAR PURP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD DEPENDENT CHILD SPOUSE DEPENDENT CHILD

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	CATERPILLAR INC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 1000
	Dallas, TX 75201
	Dallas, 17 75201
2 RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	
o / wicewi	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
	COHEN & STEERS MLP INCOM ENERGY OPPORTUNTY FD CCOM ??? t031
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 1000
	Dallas, TX 75201
RECEIVED BY	
RECEIVED D1	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	
	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	
SOURCE OF INCOME	CSI COMPRESSCO
SOURCE OF INCOME	
SOURCE OF INCOME	CSI COMPRESSCO
SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100
SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
SOURCE OF INCOME RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201
	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100
RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201
	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	CSI COMPRESSCO
RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	CSI COMPRESSCO
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
RECEIVED BY AMOUNT SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
RECEIVED BY AMOUNT	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY AMOUNT SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
RECEIVED BY AMOUNT SOURCE OF INCOME	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	CSI COMPRESSCO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS ENERGY TRANSFER EQTY LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N. Saint Paul St. Suite 800 Dallas, TX 75201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS ENERGY XXI GULF COAST INC SR NOTE ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N Saint Paul St Suite 800 Dallas, TX 75201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS FRANKLIN RISING ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
	Dallas, TX 75201
RECEIVED BY	Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	<u> </u>

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

4 0011005 05 11100145	
1 SOURCE OF INCOME	NAME AND ADDRESS FREEPORT MCMORAN INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N Saint Paul St Suite 800
	Dallas, TX 75201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS GILROY CALIF UNI SCH DIST CTFS PARTN SCH AGC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS GOLDEN ST TOB SCRTZN CRP CA RV SER A RF FGIC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue
	Suite 1000 Dallas, TX 75201
RECEIVED BY	
RECEIVED BY AMOUNT	Dallas, TX 75201
-	Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
AMOUNT	Dallas, TX 75201 X FILER
AMOUNT SOURCE OF INCOME	Dallas, TX 75201

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N Saint Paul St Suite 800 Dallas, TX 75201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS LOS ANGELES CALIF DEPT ARPTS ARPT REV SER C OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
RECEIVED BY	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
RECEIVED BY AMOUNT	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201
	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD
AMOUNT	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS MIAMI-DADE CNTY FLA AVIATION REV B OID AGM ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000
AMOUNT SOURCE OF INCOME	MENLO PK CALIF CITY SCH DIST ELECTION 2006 LT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS MIAMI-DADE CNTY FLA AVIATION REV B OID AGM ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS				
	NUVEEN MORTGAGE OPPORTUNITY				
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE				
	2100 Ross Avenue				
	Suite 1000				
	Dallas, TX 75201				
2 RECEIVED BY					
	X FILER SPOUSE DEPENDENT CHILD				
3 AMOUNT	X \$500 - \$4,999				
	X \$500 - \$4,999				
SOURCE OF INCOME	NAME AND ADDRESS				
	NUVEEN SANTA BARBARA DIV GRW FD CL				
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE				
	2100 Ross Avenue				
	Suite 1000				
	Dallas, TX 75201				
RECEIVED BY					
	X FILER SPOUSE DEPENDENT CHILD				
AMOUNT	X \$500 - \$4,999				
	X \$500 - \$4,999				
SOURCE OF INCOME	NAME AND ADDRESS				
SOURCE OF INCOME					
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P				
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE				
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P				
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue				
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000				
SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue				
SOURCE OF INCOME RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201				
	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000				
RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201				
	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD				
RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201				
RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD				
RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100				
RECEIVED BY AMOUNT SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue				
RECEIVED BY AMOUNT	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER				
RECEIVED BY AMOUNT SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PROSHARES S&P 500 DIVIDEND ARISTOCRATS ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 100				
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER				
RECEIVED BY AMOUNT SOURCE OF INCOME	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER				
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	PRINCIPAL GLOBAL DIVERSI INCOME FUND CL P ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER				

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

which the child is listed on the cover sheet.				
1 SOURCE OF INCOME	NAME AND ADDRESS SOUTHWEST AIRLINES CO ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 325 N Saint Paul St Suite 800 Dallas, TX 75201			
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD			
3 AMOUNT	X \$500 - \$4,999			
SOURCE OF INCOME	NAME AND ADDRESS SPDR S P DIVID ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201			
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD			
AMOUNT	X \$500 - \$4,999			
	•			
SOURCE OF INCOME	NAME AND ADDRESS TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201			
SOURCE OF INCOME RECEIVED BY	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000			
	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201			
RECEIVED BY	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER			
RECEIVED BY AMOUNT	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS THE INVESTMENT COMPANY OF AMERICA-A ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 400 S Zang Blvd Suite 910			
RECEIVED BY AMOUNT SOURCE OF INCOME	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2100 Ross Avenue Suite 1000 Dallas, TX 75201 X FILER SPOUSE DEPENDENT CHILD SELECT GROWTH FUND CL Y STATE; ZIP CODE NAME AND ADDRESS THE INVESTMENT COMPANY OF AMERICA-A ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 400 S Zang Blvd Suite 910 Dallas, TX 75208			

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	
	NAME AND ADDRESS
	WAL-MART STORES INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 1000
	Dallas, TX 75201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
	WHOLE FOODS MKT INC COM
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2100 Ross Avenue
	Suite 1000 Dallas, TX 75201
RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
	7 4000 44,000 40,000 40,000 424,000 GK MOKE
SOURCE OF INCOME	NAME AND ADDRESS
	LAS VEGAS SAND CORP
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	1201 Elm Street
	Suite 3500 Dallas, TX 75270
RECEIVED BY	Dallas, 17 13210
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
AMOUNT SOURCE OF INCOME	X \$500 - \$4,999
	X \$500 - \$4,999
SOURCE OF INCOME	X \$500 - \$4,999

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

SOURCE OF INCOME	NAME AND ADDRESS
	DESMOND BRYANT
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	1212 Regents Park
	DeSoto, TX 75115
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
	A FILER SPOUSE DEFENDENT CHILD
AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MOR
SOURCE OF INCOME	NAME AND ADDRESS
	STEPHOND WEST
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	417 Shasta
	DeSoto, TX 75115
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
	A FILER SPOOSE DEFENDENT CHILD
AMOUNT	\$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MOR

PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under

which the child is listed on the Co	over Sheet.	y, maicate the crima about v	whom you are reporting by p	revioling the number under
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Bank of America			
2 LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILI	D
3 GUARANTOR				
4 AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	US Bank			
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILI)
GUARANTOR				
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
2 STREET ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
☐ NOT AVAILABLE	
3 DESCRIPTION	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED
X LOTS	1.00000 lots
ACRES	Dallas County
4 NAMES OF PERSONS	
RETAINING AN INTEREST	
X NOT APPLICABLE (SEVERED MINERAL	
INTEREST)	
E IF COLD	
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
HELD OR ACQUIRED BY STREET ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
STREET ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore
STREET ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
STREET ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED
STREET ADDRESS NOT AVAILABLE DESCRIPTION X LOTS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED
STREET ADDRESS NOT AVAILABLE DESCRIPTION X LOTS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots
STREET ADDRESS NOT AVAILABLE DESCRIPTION X LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 7318 Oakmore Dallas, TX 75249-1307 NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	over Sheet.			
1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
2 STREET ADDRESS	STF	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
NOT AVAILABLE	1212 Regents Park Ct.			
	DeSoto, TX 75115			
3 DESCRIPTION	NUMBER (OF LOTS OR ACRES AN	D NAME OF COUNTY WHI	ERE LOCATED
[V] LOTS	1.00000 lots			
X LOTS ACRES	Dallas County			
L ACKES	Danas County			
4 NAMES OF PERSONS				
4 NAMES OF PERSONS RETAINING AN INTEREST				
X NOT APPLICABLE				
(SEVERED MINERAL				
INTEREST)				
5 IF SOLD NET GAIN				
□ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
HELD OR ACQUIRED BY	TY EII ED			
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
HELD OR ACQUIRED BY STREET ADDRESS			DING CITY, COUNTY, AND	
STREET ADDRESS				
	STF			
STREET ADDRESS	STF			
STREET ADDRESS	STF 1537 Pleasant Run			
STREET ADDRESS	STF 1537 Pleasant Run DeSoto, TX 75115	REET ADDRESS, INCLUE		STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION	STF 1537 Pleasant Run DeSoto, TX 75115	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION	STF 1537 Pleasant Run DeSoto, TX 75115	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS X ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS X ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres Dallas County	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND D NAME OF COUNTY WHI	STATE ERE LOCATED
STREET ADDRESS NOT AVAILABLE DESCRIPTION LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST)	STF 1537 Pleasant Run DeSoto, TX 75115 NUMBER 0 5.00000 acres	REET ADDRESS, INCLUE	DING CITY, COUNTY, AND	STATE

INTEREST IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	over Sheet.	
1 HELD OR ACQUIRED BY	X FILER SPOUSE	DEPENDENT CHILD
2 DESCRIPTION	NAME	AND ADDRESS
	Royce West & Associates, P.C. 320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804	
3 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
HELD OR ACQUIRED BY	X FILER SPOUSE	DEPENDENT CHILD
DESCRIPTION	NAME	AND ADDRESS
	Skyview Development LLC 320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
HELD OR ACQUIRED BY	X FILER SPOUSE	DEPENDENT CHILD
HELD OR ACQUIRED BY DESCRIPTION		DEPENDENT CHILD
	NAME West & Associates, LLP 320 South R. L. Thornton Freeway Suite 300	

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

	the child is listed on the Cover S	Sheet.			
1	BUSINESS ASSOCIATION		NAME A	AND ADDRESS	
		Royce West & Associate	es. P. C.		
		320 South R. L. Thornto			
		Suite 300			
		Dallas, TX 75203-1804			
_	DUCINECO TVDE	Dalias, 17, 75205-1004			
_	BUSINESS TYPE	Professional Corporation	n		
3	HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
4	ASSETS	DESC	RIPTION	CATE	EGORY
		No Assets		X LESS THAN \$5,000	\$5,000 - \$9,999
				ı 	_
				\$10,000 - \$24,999	\$25,000 OR MORE
		l			

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

L	the child is listed on the Cover s	oneer.			
1	BUSINESS ASSOCIATION		NAME A	ND ADDRESS	
		Skyview Development L	ıc		
		320 South R. L. Thornto			
		Suite 300	iii Fieeway		
		Dallas, TX 75203-1804			
_	BUSINESS TYPE	Dallas, 17 75205-1004			
۷	BUSINESS TYPE	Real Estate Developme	nt: Limited Liability Com	pany	
3	HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
4	ASSETS		RIPTION	CATE	GORY
		3 Story Building		LESS THAN \$5,000	\$5,000 - \$9,999
				\$10,000 - \$24,999	X \$25,000 OR MORE
		House and unimproved	land	LESS THAN \$5,000	\$5,000 - \$9,999
				\$10,000 - \$24,999	X \$25,000 OR MORE
F					

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

BUSINESS ASSOCIATION West & Associates, LLP 320 South R. L. Thornton Freeway Suite 300 Deplas, TX 75203-1804 2 BUSINESS TYPE Limited Liability Partnership 3 HELD, ACQUIRED, OR SOLD BY Property/Equipment DESCRIPTION CATEGORY Property/Equipment DESCRIPTION CIESS THAN \$5,000 S \$5,000 - \$9,999 S25,000 OR MORE Computer Equipment Computer Equipment Client Trust Account DESCRIPTION COMPUTER Equipment DESCRIPTION COMPUTER Equipment DESCRIPTION CATEGORY DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION COMPUTER Equipment DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION DESCRIPTION CATEGORY DESCRIPTION CATEGORY S5,000 - \$9,999 S25,000 OR MORE Computer Equipment DESCRIPTION DESCRIPTION DESCRIPTION DESCRIPTION CATEGORY DESCRIPTION DESCRIPTI						
320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 2 BUSINESS TYPE	1		NAME AND ADDRESS			
320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 2 BUSINESS TYPE			West & Associates LLP			
Suite 300 Dallas, TX 75203-1804				a Frooway		
Dallas, TX 75203-1804 Limited Liability Partnership DEPENDENT CHILD DEPENDENT CHILD DESCRIPTION CATEGORY Property/Equipment DESCRIPTION LESS THAN \$5,000 \$5,000 - \$9,999 \$25,000 OR MORE DESCRIPTION				i Freeway		
Limited Liability Partnership Limited Liability Partnership DEPENDENT CHILD DEPENDENT CHILD DESCRIPTION CATEGORY Property/Equipment DESCRIPTION LESS THAN \$5,000 \$5,000 - \$9,999 \$25,000 OR MORE DESCRIPTION CATEGORY DESCRIPTION DESCRI						
Limited Liability Partnership			Dallas, TX 75203-1804			
OR SOLD BY X FILER SPOUSE DEPENDENT CHILD 4 ASSETS DESCRIPTION CATEGORY Property/Equipment □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ X \$10,000 - \$24,999 □ \$25,000 OR MORE Office Furniture □ LESS THAN \$5,000 □ \$25,000 OR MORE Computer Equipment □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ X \$10,000 - \$24,999 □ \$25,000 OR MORE Client Trust Account □ LESS THAN \$5,000 □ \$5,000 - \$9,999	2	BUSINESS TYPE	Limited Liability Partners	hip		
Property/Equipment □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ X \$10,000 - \$24,999 □ \$25,000 OR MORE Office Furniture □ LESS THAN \$5,000 □ X \$5,000 - \$9,999 □ \$10,000 - \$24,999 □ \$25,000 OR MORE Computer Equipment □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ X \$10,000 - \$24,999 □ \$25,000 OR MORE Client Trust Account □ LESS THAN \$5,000 □ \$5,000 - \$9,999	3	HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
X \$10,000 - \$24,999 \$25,000 OR MORE Office Furniture LESS THAN \$5,000 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000 OR MORE Computer Equipment LESS THAN \$5,000 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000 OR MORE Client Trust Account LESS THAN \$5,000 \$5,000 - \$9,999 Client Trust Account S5,000 S5,000 - \$9,999 Client Trust Account S6,000 S	4	ASSETS	DESCF	RIPTION	CATE	GORY
Office Furniture			Property/Equipment		LESS THAN \$5,000	\$5,000 - \$9,999
S10,000 - \$24,999					ı 	
Computer Equipment LESS THAN \$5,000 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000 OR MORE Client Trust Account LESS THAN \$5,000 \$5,000 - \$9,999			Office Furniture		LESS THAN \$5,000	X \$5,000 - \$9,999
X \$10,000 - \$24,999					\$10,000 - \$24,999	\$25,000 OR MORE
Client Trust Account			Computer Equipment		LESS THAN \$5,000	\$5,000 - \$9,999
t_5,000 - \$9,999					X \$10,000 - \$24,999	\$25,000 OR MORE
\$10,000 - \$24,999 X \$25,000 OR MORE			Client Trust Account		LESS THAN \$5,000	\$5,000 - \$9,999
					\$10,000 - \$24,999	X \$25,000 OR MORE

LIABILITIES OF BUSINESS ASSOCIATIONS

PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

BUSINESS ASSOCIATION Skyview Development LLC 320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 BUSINESS TYPE Limited Liability Company HELD, ACQUIRED, OR SOLD BY Debt Debt Description CATEGORY LLABILITIES Debt Description Tenant Deposits Description LESS THAN \$5,000 \$\frac{1}{2}\$\$,000 - \$9,999 \$10,000 - \$24,999 \$\frac{1}{2}\$\$\$,5000-OR MORE Tenant Deposits Description Tenant Deposits Description D		the child is listed on the Cover S	Sheet.			
320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 BUSINESS TYPE	1	BUSINESS ASSOCIATION	NAME AND ADDRESS			
320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804 BUSINESS TYPE			Skyview Development I	I C		
Suite 300 Dallas, TX 75203-1804						
Dallas, TX 75203-1804						
Limited Liability Company Held, Acquired, OR SOLD BY X FILER						
Limited Liability Company HELD, ACQUIRED, OR SOLD BY	_	DI ICINECC TVDE	Danas, 177 70200 1004			
OR SOLD BY X FILER	_	BUSINESS TYPE	Limited Liability Compar	ny		
Debt ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE Tenant Deposits ☐ LESS THAN \$5,000 ☒ \$5,000 - \$9,999	3	HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
\$10,000 - \$24,999 X \$25,000 - OR MORE Tenant Deposits LESS THAN \$5,000 X \$5,000 - \$9,999	4	LIABILITIES	DESC	RIPTION	CATE	GORY
Tenant Deposits \$10,000 - \$24,999 X \$25,000 - OR MORE LESS THAN \$5,000 X \$5,000 - \$9,999			Debt		LESS THAN \$5,000	\$5,000 - \$9,999
Tenant Deposits LESS THAN \$5,000 X \$5,000 - \$9,999					ı '''	_
\$10,000 - \$24,999 \$25,000-OR MORE			Tenant Deposits		l	
					\$10,000 - \$24,999	\$25,000OR MORE

BOARDS AND EXECUTIVE POSITIONS

PART 12

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 0	RGANIZATION	Black America Web Relie	ef Fund	
2 P	OSITION HELD	Secretary		
3 P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
0	RGANIZATION	Circle Ten Council of Boy	y Scouts of America	
P	OSITION HELD	Executive Vice Presient 9	Scout Reach	
P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
0	RGANIZATION	Education is Freedom		
P	OSITION HELD	Board Member		
P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
0	RGANIZATION	Tom Joyner Foundation,	Inc	
Р	OSITION HELD	Secretary		
P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
0	RGANIZATION	Royce West & Associate	s, P.C.	
Р	OSITION HELD	President		
P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
0	RGANIZATION	Skyview Development LL	_C	
P	OSITION HELD	President		
P	OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD

BOARDS AND EXECUTIVE POSITIONS

PART 12

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

RGANIZATION	West & Associates,	LLP		
OSITION HELD	Managing Partner			
OSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
	·			

FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

PART 15

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

	Government Code, or for providin be registered as a lobbyist. Report	riding services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the services to or on behalf of a person you actually know directly compensates or reimburses a person required to be name of each person or entity for which the services were provided, and indicate the category of the amount see FORM PFSINSTRUCTION GUIDE.	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	arclays Capital Inc.	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Dallas	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Pallas ISD	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	irst Southwest Company	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Grand Prairie ISD	
2	FEE CATEGORY	X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	louston ISD	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	P Morgan Chase & Co.	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	ofile, Inc.	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE	
1	PERSON OR ENTITY FOR WHOM SERVICES	ife Partners, Inc.	
	WERE PROVIDED		_
2		LESS THAN \$5,000 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000OR MORE	

FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

PART 15

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

	Government Code, or for providin be registered as a lobbyist. Repor	viding services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the services to or on behalf of a person you actually know directly compensates or reimburses a person required to the name of each person or entity for which the services were provided, and indicate the category of the amount see FORM PFSINSTRUCTION GUIDE.
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Linebarger Goggan Blair & Sampson
2	FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Matthews Holdings Southwest Inc.
2	FEE CATEGORY	LESS THAN \$5,000 S5,000 - \$9,999 X \$10,000 - \$24,999 S25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Morgan Stanley Domestic Holdings, Inc.
2	FEE CATEGORY	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Cypress-Fairbanks ISD
2	FEE CATEGORY	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Dallas
2	FEE CATEGORY	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Garland
2	FEE CATEGORY	LESS THAN \$5,000 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Houston
2	FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	San Antonio ISD
2	FEE CATEGORY	LESS THAN \$5,000 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Farrant Regional Water District
2	FEE CATEGORY	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 X \$25,000OR MORE

PERSONAL FINANCIAL STATEMENT

PARTS MARKED "NOT APPLICABLE" BY FILER

FORM PFS
COVER SHEET
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

6	PAR	TS NOT APPLICABLE TO FILER
		N/A Part 1A - Sources of Occupational Income
	Χ	N/A Part 1B - Retainers
		N/A Part 2 - Stock
		N/A Part 3 - Bonds, Notes & Other Commercial Paper
		N/A Part 4 - Mutual Funds
		N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
		N/A Part 6 - Personal Notes and Lease Agreements
		N/A Part 7A - Interests in Real Property
		N/A Part 7B - Interests in Business Entities
	Χ	N/A Part 8 - Gifts
	Χ	N/A Part 9 - Trust Income
	X	N/A Part 10A - Blind Trusts
	X	N/A Part 10B - Trustee Statement
		N/A Part 11A - Assets of Business Associations
		N/A Part 11B - Liabilities of Business Associations
		N/A Part 12 - Boards and Executive Positions
	Χ	N/A Part 13 - Expenses Accepted Under Honorarium Exception
	X	N/A Part 14 - Interest in Business in Common with Lobbyist
		N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
	X	N/A Part 16 - Representation by Legislator Before State Agency
	X	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
	X	N/A Part 18 - Legislative Continuances

PERSONAL FINANCIAL STATEMENT AFFIDAVIT		
The law requires the personal financial statement to be ver	rified. Without proper verification, the statement is not considered filed.	
The verification page on a personal statement filed electrondividual required to file the personal financial statement.	nically with the Texas Ethics Commission must have the electronic signature of the	
The verification page on a personal financial statement file if the individual required to file the personal financial state erson authorized by law to administer oaths and affirmation	d with an authority other than the Texas Ethics Commission must have the signature ment as wells as the signature and stamp or seal of office of a notary public or other ons.	
	I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2015, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.	
	The Honorable Royce West	
	Signature of Filer	
AFFIX NOTARY STAMP / SEAL ABOVE		
Sworn to and subscribed before me, by the said, of, 20, to certify which,	, this the day witness my hand and seal of office.	
Signature of officer administering oath Printe	d name of officer administering oath Title of officer administering oath	
Signature of officer autilitistering odth Pfille	a name of officer authinistering oath	